

NOVA University of Newcastle Research Online

nova.newcastle.edu.au

Todd, Juanita; Provost, Alexander; Whitson, Lisa R.; Cooper, Gavin & Heathcote, Andrew. "Not so primitive: context-sensitive meta-learning about unattended sound sequences" Published in the *Journal of Neurophysiology*, Vol. 109, Issue 1, (2013).

Available from: http://dx.doi.org/10.1152/jn.00581.2012

Accessed from: http://hdl.handle.net/1959.13/1061221

- 1 Title: Not so primitive: Context sensitive meta-learning about unattended sound
- 2 sequences.
- 3 **Running Title:** Context sensitive meta-learning
- 4 Author names and affiliations (in order of importance):
- 5 Juanita TODD¹⁻³ Alexander PROVOST¹⁻², Lisa WHITSON¹⁻², Gavin COOPER^{1, 3}, Andrew
- 6 HEATHCOTE¹⁻²
- 7
- 8 1. School of Psychology
- 9 University of Newcastle
- ¹⁰ University Drive, Callaghan, NSW, Australia, 2308.
- 11 2. Priority Research Centre Translational Neuroscience and Mental Health Research
- 12 University of Newcastle
- ¹³ University Drive, Callaghan, NSW, Australia, 2308.
- 14 3. Schizophrenia Research Institute
- 15 Darlinghurst, 2010, NS, Australia
- 16 **Corresponding author:**
- 17 Juanita Todd
- 18 School of Psychology
- 19 University of Newcastle
- 20 University Drive, Callaghan, NSW, Australia, 2308.
- 21 Email: Juanita.Todd@newcastle.edu.au
- 22 Phone: +61 2 49215977
- 23 Fax: +61 2 49216980

- 25
- 26
- 27 28
- 29

30 31 32	Author Contribution
33	Juanita TODD
34	Designed the research, supervised project conduct, analysed data and took the lead role
35	in writing the paper.
36	
37	Andrew HEATHCOTE
39 40 41	Participated in design, data interpretation and write-up.
42	Alexander PROVOST
43	Participated in design, data interpretation and write-up.
44	
45	Lisa WHITSON
46	Collected and processed data.
47	
48	Gavin COOPER
49	Participated in design and programming.
50	

52 ABSTRACT

53

Mismatch Negativity (MMN) - an evoked response potential elicited when a "deviant" 54 sound violates a regularity in the auditory environment - is integral to auditory scene 55 processing and has been used to demonstrate "primitive intelligence" in auditory short-56 term memory. Using a new multiple context and timescale protocol we show that MMN 57 58 magnitude displays a context sensitive modulation depending on changes in the probability of a deviant at multiple temporal scales. We demonstrate a primacy bias 59 causing asymmetric evidence-based modulation of predictions about the environment, and 60 that learning how to learn about deviant probability (meta-learning) induces context-61 sensitive variation in the accessibility of predictive long-term memory representations that 62 underpin the MMN. The existence of the bias and meta-learning are consistent with 63 64 automatic attributions of behavioural salience governing relevance-filtering processes operating outside of awareness. 65

66

Keywords: mismatch negativity (MMN), perceptual inference, salience, learning, auditory
 evoked potential.

70 Humans are accomplished at finding patterns in event sequences, an ability that is 71 supported by automatic novelty detection mechanisms. In audition, automatic novelty detection is indexed by Mismatch Negativity (MMN), a fronto-central event-related 72 potential (ERP) peaking 100-200ms after a novel event. The MMN, which is primarily 73 generated in the auditory cortex, was first described by Näätänen, Gaillard and Mäntysalo 74 75 (1978) in an auditory oddball paradigm (e.g., a series of standard longer tones containing 76 an occasional shorter oddball or *deviant* tone) through the use of deviant-standard difference waveforms. MMN is elicited automatically and is usually measured while 77 participants attend to another modality (e.g., while reading or watching a silent movie), as 78 it does not require attention but can be masked by attention-related ERPs. MMN amplitude 79 is proportional to the difference between deviant and standard, and is inversely 80 proportional to the probability of the deviant. Early interpretations of the MMN (e.g., 81 82 Näätänen & Michie, 1979) were in terms of a mismatch between low-level auditory 83 sensory memory traces of the standard and deviant. However, mounting evidence has 84 implicated much more sophisticated processing, leading Näätänen, Tervaniemi, Sussman, Paavilainen and Winkler (2001) to characterize the MMN as a marker of "primitive 85 intelligence" in the auditory cortex. 86

87

Primitive intelligence is revealed by phenomena usually associated with higher-order 88 cognition ranging from prediction and simple concept formation to mnemonic 89 characteristics more associated with long-term memory than short-term sensory memory. 90 For example, MMNs indicative of a left-hemisphere specialization in extracting abstract 91 rules, are associated with violations of contingencies embedded in sound sequences that 92 are independent of low-level auditory features (e.g., "the higher the frequency the louder 93 94 the intensity", Paavilainen, Simola, Jaramillo, Näätänen & Winkler, 2001). Horváth, Czigler, Sussman and Winkler (2001) found MMNs implicating simultaneous memory 95

96 representations of more than one type of contingency (e.g., a global "every second tone is A and every other B" rule and local a local "A follows B and vice versa" rule) that are 97 compared in parallel with incoming sounds. These results and others (e.g., Tervaniemi, 98 Maury & Näätänen, 1994) suggest the auditory cortex automatically learns contingencies 99 between the features of the successive events and makes predictions about forthcoming 100 101 events (Winkler, Karmos & Näätänen, 1996). The use of transition statistics (the statistical 102 temporal dependencies linking stimuli) was formalised in a recent paper incorporating 103 empirical data with computational modelling to explain a wide range of MMN findings. The authors provide additional support for the argument that low-level sensory effects of 104 stimulation (e.g., habituation) are not sufficient to account for MMN results that instead 105 conform to a more active process of cortical prediction (Wacongne, Changeux & Dehaene, 106 2012). 107

108

109 This developing understanding in the role of MMN in the auditory modality complements 110 Friston's (2003, 2005, 2008) free-energy minimization framework for perceptual inference and learning, whereby sensory cortices are arranged hierarchically, with predictions over 111 longer time scales made by representations in higher cortical levels modulating responses 112 113 in lower levels occurring on faster time scales (see also Kiebel, Daunizeau, & Friston, 2008). The prefrontal cortex is a recognized contributor to the MMN (Alho, 114 115 Woods, Algazi, Knight, & Näätänen, 1994). Escera, Yago, Corral, Corbera and Nunez's (2003) specific suggestion that the prefrontal cortex provides top-down modulation of 116 mismatch detection in the temporal cortices was tested by Garrido, Kilner, Kiebel and 117 Friston (2009) in an auditory pitch oddball paradigm. Garrido et al. (2009) compared 118 Dynamic Causal Models varying in the involvement of generators in primary auditory 119 120 cortex (A1), superior temporal gyrus (STG) and inferior frontal gyrus (IFG). Model selection 121 supported the influence of adaptation in the primary auditory cortex and short-term

5

122 plasticity of forward and backward connections across the auditory hierarchy in the 123 generation of MMN (see also Schmidt, Diaconescu, Komerter et al., 2012 for a recent replication and extension). The model that best accounted for data specified a right IFG – 124 STG – A1 hierarchy and a left STG – A1 hierarchy with extrinsic (feedforward and 125 feedback) connections between generators within each hierarchy and intrinsic (lateral) 126 127 connections within each A1 generator. These findings, and Friston's general multiple-time-128 scale hierarchical framework, suggest the known frontal involvement in the MMN might not 129 only be related to proposed attention switching (Näätänen, 1990, 1992, Giard, Perrin, 130 Pernier and Bouchet, 1990) but also to modulating MMN magnitude based on predictive confidence over longer time-scales. 131

132

We used a new technique, a *multiple context and time-scale MMN protocol*, to explore the 133 134 long-term memory characteristics of the context-dependent process that adjusts 135 predictions about auditory regularity. The technique is a refined and expanded version of 136 the protocol used by Todd, Provost and Cooper (2011). They measured learning about the probability of a tone duration deviant in an oddball paradigm similar to that illustrated in the 137 top row of Figure 1. In each of a series of approximately 10-minute sequences separated 138 139 by several minutes of silence, either a short (e.g., 30ms) or long (e.g., 60ms) tone occurred every 300ms. Over the entire sequence both durations occurred equiprobably, 140 141 but in blocks within each sequence one duration, the standard, was more probable (p=0.875), with the other duration being the MMN-eliciting deviant. The attribution of 142 durations to deviant and standard roles alternated between blocks. Different sequences 143 varied in block length, with Figure 1 illustrating sequences with slow (2.4 minute) and fast 144 (0.8 minute) block alternations. If MMN amplitude is dominated by the local probability 145 146 within a block – consistent with an MMN developing on the scale of a few seconds in the 147 oddball paradigm (i.e., after several standard repetitions) – it should not vary with

alternation speed (block length). If, in contrast, the probability of a deviant is measured by
 a moving average over a larger temporal window, MMN amplitude should be larger in slow
 than fast block alternation sequences.

151

Surprisingly, Todd et al. (2011) found both patterns. For the deviant duration that occurred 152 153 in the first block (which was the same for every sequence for a given participant) MMN 154 amplitude was larger for the slow than fast sequences. In contrast, for the duration that 155 became the deviant in the second block, sequence speed had no effect on MMN 156 magnitude. Todd et al. described this asymmetric finding as a "primacy bias". They suggested that it might reflect latent inhibition (Lubow & Gewirtz, 1995), a classical 157 conditioning phenomenon whereby learning is attenuated to familiar stimuli that have 158 previously been inconsequential. Irrespective of the cause, the data imply a long-acting, 159 160 order-driven limitation on how evidence affects perceptual inference.

161

162 The experiment reported here adds multiple contexts to the multiple temporal scales in Todd et al.'s (2011) protocol in order to investigate the cases and limits of the differential 163 probability sensitivity indicated by the primacy bias. In the previous study, tone order was a 164 165 between-subjects factor whereby half the participants always experienced the long duration sounds as the standard in the first block of any sequence and half always 166 experience the short duration as the first standard. Furthermore, the primacy bias was 167 assessed over a 50 minute recording period including multiple block lengths. Here we 168 presented participants with three pairs of sequences comprising only short and long block 169 lengths (as illustrated in Figure 1, Order 1, 2 and 3). Each sequence pair was separated 170 by a 5-minute break and the duration that was used as the standard in the first block of 171 172 each sequence changed between pairs (i.e., 30ms in the first and third pairs and 60ms in 173 the second pair). These shorter sequences allow us to determine whether a reliable index

of the bias can be extracted from a 20 minute recording and how resistant the bias is to
change (i.e., whether it reverses when tone order changes). Latent conditioning is well
known to be context sensitive (e.g., Hall & Honey, 1989), so if the 5-minute breaks induce
a sufficiently salient change of context the primacy bias should reverse between sequence
pairs. Furthermore, the repeat of Order 1 in Order 3 allows us to examine whether the
bias is always replicated with the same initial sequence structure or whether prior
experience can alter the effect.

181

182

Method

183 Participants

Participants were 15 healthy adults (8 female, 18-31 years, mean = 25 years, SD = 4 184 years) community volunteers and first year undergraduate Psychology students at the 185 186 University of Newcastle. Participants were excluded if they were diagnosed with or being 187 treated for mental illness, had a first degree relative with schizophrenia, regularly used 188 recreational drugs or had history of neurological disorder, head injury or surgery, hearing impairments or heavy alcohol use. Course credit was offered for participation to students 189 and cash reimbursement to community volunteers. Written informed consent was obtained 190 191 from all participants to complete the protocol as approved by the Human Research Ethics committee, University of Newcastle, Australia. 192

193

194 Stimuli and Sequences

Sounds were 1000 Hz pure tones presented binaurally over headphones at 75 dB SPL.
Sounds were created with 5 ms rise/fall times and either a 20 ms or 50 ms pedestal to
produce 30 ms and 60 ms sounds, respectively. All sequences comprised 1920 sounds
presented at a regular 300 ms stimulus onset asynchrony (9.6 minutes per sequence). In
short-standard blocks the 30 ms tone was more probable (p=0.875) than 60 ms tone; in

200 long-standard blocks the probabilities were reversed. In the slow sequence, block type 201 alternated after every 480 tones creating a stable-standard period of 2.4 minutes (i.e., two repeats of each 2.4 minute block). In the fast sequence block type alternated every 160 202 203 tones creating a stable-standard period of 0.8 minutes (i.e., six repeats of each 0.8 minute block). The slow alternation sequence always preceded the fast alternation sequence. In 204 205 Order 1 and in its repeat in Order 3, the short standard blocks were presented first. In 206 Order 2, the long standard blocks were presented first. A five minute break was enforced 207 between order conditions and shorter 1-2 minute breaks occurred between sequences 208 (total testing time approximately 1 hour, 15 minutes).

209

210 **Procedure**

211 Participants completed a screening interview prior to testing to ensure no exclusion criteria 212 were present. Hearing thresholds (measured across 500-4000 Hz) were assessed with a 213 pure tone audiometer to exclude those with hearing loss (thresholds >25 dB HL). 214 Participants were fitted with a Neuroscan Quickcap with tin electrodes, that included nose and mastoid electrodes. The continuous EEG was recorded on a Synamps 2 Neuroscan 215 system at 1000 Hz sampling rate (highpass 0.1 Hz, lowpass 70 Hz, notch filter 50 Hz and 216 217 a fixed gain of 2010). EEG data were recorded from 16 electrode locations (FZ, FCZ, CZ, PZ, F3, FC3, C3, F4, FC4, C4 in accordance with the 10–20 system plus left mastoid, right 218 219 mastoid) referenced to the nose. We also measured vertical and horizontal electrooculograms. Impedances were reduced to below 5 k Ω before recording commenced. 220 Sequences were presented over headphones while the participant viewed a silent DVD 221 with subtitles and were instructed to ignore the sounds and focus attention on the movie. 222 223 224

226 Data Processing

227 Continuous EEG was first examined offline for major artifact before eyeblink artifact correction was completed offline using Neuroscan Edit software. The method applies a 228 regression analysis in combination with artifact averaging (Semlitsch, Anderer, Schuster, & 229 Presslich, 1986). The average artifact response algorithm generated was assessed for 230 231 adequacy (more than 30 sweeps in the average and <5% variance) and was applied to the 232 continuous data files. The data were epoched from 50 ms prestimulus to 300 ms post-233 stimulus. Epochs containing variations exceeding \pm 70 μ V were excluded. The data were used to generate twelve ERPs to standard tones, twelve ERPs to deviant tones and twelve 234 difference waves per participant (a 30 ms and 60 ms version for fast and slow sequences 235 for each of the three orders). The first five standards in a block and the first standard after 236 each deviant were excluded from averages. 237

238

ERPs were baseline corrected pre-stimulus. The standard and deviant ERPs were digitally 239 240 filtered with a lowpass of 30 Hz. Difference waveforms for 30 ms and 60 ms deviants were created for each condition by subtracting the ERP to that tone as a standard from that tone 241 242 as a deviant. For example, the MMN to 30 ms deviants in fast change blocks was 243 extracted from a difference waveform created by subtracting the ERP to the 30 ms standard in fast change blocks from the ERP to the 30 ms deviant tone in fast change 244 blocks. This approach assists in reducing the contribution of exogenous effects on the 245 computation of MMN (Jacobsen & Schröger, 2003). The difference wave was then filtered 246 with a low pass of 20 Hz (lower cut-off recommended for MMN, Kujala et al., 2007). 247

248

All ERPs were re-referenced to the averaged activity at the left and right mastoid sites.

Individual data were then visually inspected to determine whether a MMN was present.

251 One participants' data were rejected on this criterion showing no evidence of a MMN to the

30 ms or 60 ms deviant for any condition. Three participants only completed Order 1 and 2
of the study and were therefore excluded from statistical analyses and results display.

254

The within-subjects variables of interest were order (1, 2, 3), speed of block alternation 255 (slow, fast) and tone type (30 ms, 60 ms). Inspection of the data revealed that the speed 256 257 and order effects on MMN amplitude were maximal at the front-central scalp site F4. MMN 258 was guantified by identifying the peak latency in group averaged data and extracting mean 259 amplitude 10 ms either side of that peak. MMNs to the 30 ms tone peaked uniformly around 170 ms and those to the 60 ms sound peaked uniformly around 150 ms (see 260 Figure 2 below). Mean amplitude was therefore extracted over 160-180ms for 30 ms 261 MMNs and from 140-160 ms for 60 ms MMNs. MMN amplitude was examined in an order 262 263 by speed by tone repeated measures ANOVA. Greenhouse-Geisser statistics are reported 264 where appropriate. 265 266 Results 267 268 269 The MMNs generated to the 30 ms and 60 ms tones as deviants are presented in Figure 2.

for the site F4. The differential effect of tone order on the MMNs to 30 ms and 60 ms deviants is visibly apparent. In Order 1, only the MMNs to 60 ms tones show evidence of the expected standard stability effect (slow-larger-than-fast alternation) on MMN size. In Order 2, the pattern reverses entirely, where the slow-larger-than fast alternation effect is only visible for the MMNs to the 30 ms tone as deviant. In Order 3, however, the slowlarger-than-fast effect is clearly present for both the 30 ms and 60 ms MMN.

277 Analysis of MMN amplitude exposed a main effect of speed (F(1,10) = 8.00, p < .05) 278 modified by a significant three-way interaction between order, tone and speed of block 279 change (ε = 0.76, F(2,20) = 8.58, p<.005). In Order 1, there was a tone x speed interaction 280 (F(1,10) = 5.50, p < .05), reflecting a significantly larger slow change MMN than fast change MMN for the 60 ms deviants only. In Order 2, a significant tone x speed interaction 281 282 reflected the opposite pattern: a significantly larger slow than fast change MMN for the 30 ms deviant only (F(1,10) = 26.31, p < .001). In Order 3, only the speed of change main 283 effect reached significance (F(1,10) = 12.07, p<.01), reflecting larger MMNs to deviants in 284 the slow change than fast change conditions for both tone types. The full set of ANOVA 285 results are presented in Table 1. 286

287

The group averaged mean amplitudes of the MMN are presented in Figure 3. The 288 significant order effect on interactions between tone type and speed of alternation are very 289 clear in panel C of Figure 3. The effect of order and speed of alternation on each tone type 290 is presented separately in panels A and B. A repeated measures ANOVA within each tone 291 292 type confirms a significant quadratic trend for the interaction between order and speed for both tones (30 ms F(1,10) = 7.80, p<.05, 60 ms F(1,10) = 27.18, p<.001) although the 293 interaction only reaches significance for the 60 ms tone (see Table 1). This is visible in 294 295 Figure 3 where the impact of speed on the difference in MMN amplitudes is maximal 296 where the tone was the first encountered deviant in that order (order 2 for the 30 ms tone and orders 1 & 3 for the 60 ms tone). The modulations, in particular those for the 60 ms 297 tone, show how order modulates MMN amplitude in both directions, consistent with a 298 relative rather than absolute effect. 299

300

An examination of the ERPs to the repetitive sounds in each sequence revealed no significant impact of any of the within-subject variables supporting Todd et al.'s (2011)

interpretation that the origin of the effects, particularly the bias, is in response to thedeviant tone.

305

306 **Discussion**

Since its discovery by Näätänen et al. (1978), the MMN has not only found application in 307 308 an increasing number clinical and applied fields (Näätänen, Kujala, Escera et al., 2012), but has also been central to revealing an increasingly sophisticated story about auditory 309 processing by the brain (Näätänen et al., 2001). The early conception that the MMN 310 reflects a simple mismatch between incoming sounds and a rapidly decaying trace of low 311 level auditory features has been replaced by the notion that it is integral to auditory scene 312 analysis and reflects a learning process based on the success of multiple simultaneously 313 active predictive models or "regularity representations" residing in long-term memory 314 315 (Winkler & Cowan, 2005).

316

317 In this paper we have used a new multi-context, multi-timescale MMN paradigm revealing a bias in inferential processes underlying MMN. The results extend Todd et al.'s (2011) 318 previous work by demonstrating: (1) that a reliable index of the bias can be obtained in as 319 320 little as 20 minutes; (2) within-in subject evidence that the bias is anchored to the initial structure of the sequence and so reverses when tone order is reversed (results order 1 321 versus order 2); but (3) extraction of information about sequence structure over a much 322 longer time course can abolish the bias (no bias when order 1 is repeated in order 3). The 323 data show that experience with sound can affect how subsequent evidence influences 324 automatic perceptual inferences. Although lower-level processes like stimulus-specific 325 adaptation have demonstrated sensitivity to event-probability on multiple timescales 326 327 (Ulanovsky, Lars & Nelkin, 2003), we know of no mechanism by which it could account for 328 the observed bias and, in particular, the disappearance of the bias in order 3. Given ERP

329 studies provide evidence that adaptation in subsets of neurons coding probability on 330 multiple timescales can influence MMN size (e.g., Costa-Faidella, Grimm, Slabu, Diaz-Santaella & Escera, 2011), these factors must play a role in the phenomena we are 331 332 measuring but seem inadequate to explain why the bias would be created, reverse and then be overwritten over the three order conditions. A recent computational modelling 333 334 study suggests that the process from which MMN derives reflects stored information about 335 the conditional probability of observing a particular second stimulus at a certain latency after the first and that "MMN reflects, in a quantitative manner, the degree of violation of 336 such transition probabilities" (Wacongne et al., 2012). The bias in present data and that in 337 Todd et al. (2011) indicate that such transition statistics are only part of the story and 338 insufficient to account for these order-dependent phenomena. 339

340

341 Similar order-dependent biases observed in artificial grammar learning prompting the 342 proposal that: "adult learners have a prior probability, either innately or via early 343 experience, that structures do not undergo rapid change without a strong contextual cue" (Gebhart et al., 2009, p1110). This prospect links well with recent conceptualizations of the 344 MMN process and raises the possibility of a more top-down implementation of acquired 345 346 knowledge. Winkler (2007) and Sussman (2007) discuss how mechanisms explaining the probability sensitivity of MMN in terms of the absolute strength of a memory trace for the 347 standard, or its strength relative to a memory trace for the deviant, have been replaced by 348 a regularity-violation interpretation within which the MMN reflects learning about predictive 349 confidence. Within this framework, our sequences can be conceptualised as setting up two 350 competing models of the environment. Model A stipulates that the environment is best 351 accounted for by the characteristics of the first standard (30ms) tone. Model B reflects the 352 353 competing expectation that the environment will match the characteristics of the second 354 standard (60 ms) tone. Evidence for model A and B change over time and at different rates

355 in the fast and slow alternation sequences. The fact that deviations elicit larger MMN in 356 slow than fast change sequences for model A only implies an order-dependent differential impact of experience on predictive confidence. In other words, additional stability in the 357 slow changing sequence (and conversely instability in the fast change sequence) has an 358 impact on MMN size for the violations of model A but not model B. It is as though the initial 359 360 standard repetition in order 1 (or model A) is accepted as a global structure and the 361 dominant model. Model B becomes a local departure from this structure insensitive to modification by longer term experience. In order 2, model B becomes the global 362 structure/dominant model. The fact that the bias can be so readily reversed by a 5 minute 363 silence might then be explained by the silence preceding order 2 leading to the 364 assumption that this sequence originates from a different object to that in order 1. By order 365 3, both models have played a role as global/dominant models, are recognised as equally 366 367 likely (possibly as separate auditory objects) and therefore the bias is abolished. In this 368 way, the bias creates a conservative preservation of stability in initial object perception, 369 presumably until sufficient counter-evidence is acquired.

370

A slightly different perspective emerges when considering the functional relevance of a 371 372 prediction-error signal. Model-competition assumes that the bias occurs through preferential re-evaluation of one prediction model (linked to the first standard). In contrast, 373 374 an information-value perspective assumes that the bias emerges because of the prediction-error (linked to the first deviant). Prediction-errors motivate learning by signalling 375 when reality differs from inferences based on past experience. The goal of subsequent 376 learning is to minimise the error (Friston, 2005). This is achieved by enlisting resources 377 378 that can provide more information on how to predict the event and/or on what the event 379 predicts. The bias we observed is linked to the presentation order of tones. The first large 380 prediction-error signal is the MMN to the first encountered deviant (e.g., 60ms tone in

381 order 1, 30ms tone in order 2). One perspective on the functional significance of MMN is 382 that it signals that the environment departed significantly from the predicted state and this departure may be important. The best way to learn more about this event is to monitor its 383 occurrence over a longer time frame. Over a longer sampling window, the 60ms sound is 384 less rare (or likewise the transition from 30 ms standard to 60 ms deviant is less rare) in 385 386 fast change sequences than in the slow change sequences, providing a probability-based 387 explanation of why MMN amplitude to the 60ms tone is modulated by speed of change. In contrast, the initial high repetition of the first encountered standard with no linked 388 consequence may result in learned redundancy, failing to engage higher order monitoring 389 and in turn, explaining why longer term probability changes have no effect on MMN size. 390

391

Viewed from this information value perspective, the primacy bias is a failure to unlearn this 392 393 redundancy, and so it resembles latent inhibition attenuating learning about familiar 394 inconsequential stimuli. If this is the case it appears that the flexibility to be sensitive to 395 variations in deviant probability at multiple temporal scales might be hampered by its implementation through a relatively simple learning mechanism. Our new finding that the 396 397 primacy effect reverses after a 5-minute break might also be consistent with this simple 398 conditioning explanation given latent inhibition is known to be context sensitive. However, 399 the complete disappearance of the primacy bias (i.e., the fact that speed modulates MMN for both tone durations) after a further break appears to be indicative of a more 400 sophisticated meta-learning process. In particular, why would speed modulation for MMN 401 to the 60ms deviant, which occurs first in in order 1, fail to occur when it subsequently 402 403 occurs second in order 2, yet the speed modulation observed on the MMN to the 30ms deviant that occurs first in order 2 is also seen when it appears second in order 3? The 404 405 disappearance of primacy bias suggests that by the time the third sequence pair occurs,

higher-order learning promotes longer term monitoring of all sounds to minimise prediction

⁴⁰⁷ error in an environment with changing sound relevance (and/or multiple auditory objects).

408

406

Predictive-confidence and information-value based accounts have slightly different 409 implications for learning. According to the former, the bias reflects how evidence is used to 410 411 evaluate predictions about the environment. The later implies that even outside our 412 awareness, the automatically determined information-value of a sound will influence the 413 level of engagement in monitoring its occurrence. In either case, it appears that with sufficient experience, the MMN, and early auditory processing of unattended sequences, 414 can reflect influences from brain processes with a hierarchy of temporal scales that enable 415 quite sophisticated adaptation of learning processes to utilise higher-order patterning in 416 predictions (Kiebel, Daunizeau & Friston, 2008). At face value the bias appears to have 417 418 methodological implications for studies that employ reversed-oddball control designs (e.g., 419 Jacobsen & Schröger, 2003). However, such designs generally hold standard probability 420 stable for longer periods than that used here and do not alternate back-and-forth. Furthermore, it would appear from the outcomes of the present study that a period of 421 silence between two opposing blocks is sufficient to "re-set" or remove the former bias. At 422 423 present we consider the implications minor unless a study runs the reverse-oddball 424 sequences contiguously. The extent to which this is true depends on the outcomes of ongoing studies in our lab exploring the longevity of the effect in the face of 425 countermanding evidence - that is, whether the bias holds for model A when it is followed 426 by very long periods of stability in model B. 427

428

Our results suggest that the multi-context multi-scale MMN protocol provides a sensitive
 technique for probing the characteristics of perceptual learning about prediction at multiple
 temporal scales. For example, new studies could examine whether the primacy we

432 demonstrated – one induced by an order-dependent consequential history in the current context – is also found with other types of prior bias (e.g., pre-existing differences in 433 stimulus salience). The way in which context change modulates learning also seems 434 particularly suited to studying the role of long-term memory in the storage and retrieval of 435 regularity representations in mismatch detection. Finally, all of these possibilities can be 436 explored when deviance is defined relative to recently acquired (e.g., Atienza & Cantero, 437 438 2001) or long-term (e.g., Pulvermuller et al., 2001) knowledge, or potentially by higher order relationships, such as various stimulus contingencies (e.g., Paavilainen et al., 2001; 439 Tervaniemi et al., 1994), or when multiple simultaneous regularities are active (e.g., 440 Horváth et al., 2001). 441

443

Acknowledgements

- ⁴⁴⁴ This research was supported by a Project grant 1002995 from the National Health and
- 445 Medical Research Council of Australia.

Author Contribution Juanita TODD Designed the research, supervised project conduct, analysed data and took the lead role in writing the paper. Andrew HEATHCOTE Participated in design, data interpretation and write-up. Alexander PROVOST Participated in design, data interpretation and write-up. Lisa WHITSON Collected and processed data. Gavin COOPER Participated in design and programming.

469	References
470	Alho K, Woods DL, Algazi A, Knight RT, Näätänen, R. Lesions of frontal cortex
471	diminish the auditory mismatch negativity. Electroencephalography and Clinical
472	Neurophysiology, 91, 353-362, 1994.
473	Atienza M, Cantero JL. Complex sound processing during human REM sleep by
474	recovering information from long-term memory as revealed by the mismatch
475	negativity (MMN). <i>Brain Research</i> , 901, 151-160, 2001.
476	Costa-Faidella J, Grimm S, Slabu L, Diaz -Santaella F, Escera C. Multiple
477	time scales of adaptation in the auditory system as revealed by human evoked
478	potentials. Psychophysiology, 48, 774–783, 2011.
479	Escera C, Yago E, Corral MJ, Corbera S, Nunez MI. Attention capture by auditory
480	significant stimuli: semantic analysis follows attention switching. European Journal
481	of Neuroscience, 18, 2408–2412, 2003.
482	Friston K. Learning and inference in the brain. Neural Networks, 16, 1325–1352, 2003.
483	Friston K. A theory of cortical responses. Philosophical Transactions of the Royal Society
484	of London - Series B: Biological Sciences, 360, 815-836, 2005.
485	Friston K. Hierarchical models in the brain. PLoS Computational Biology, 4: e1000211,
486	2008.
487	Garrido MI, Kilner JM, Kiebel SJ, Friston KJ. Dynamic causal modeling of the response
488	to frequency deviants, Journal of Neurophysiology, 101, 2620–2631, 2009.
489	Giard MH, Perrin F, Pernier J, Bouchet P. Brain generators implicated in the processing
490	of auditory stimulus deviance; a topographic event-related potential study.
491	Psychophysiology, 27, 627–640, 1990.
492	Gebhardt AL, Aslin RN, Newport EL. Changing structures in midstream: Learning along
493	the statistical garden path. Cognitive Science, 33, 1087-1116, 2009.
494	Horváth, J, Czigler I, Sussman E, Winkler I. Simultaneously active pre-attentive
495	representations of local and global rules for sound sequences. Cognitive Brain
496	<i>Research, 12</i> , 131-144, 2001.
497	Jacobsen T, Schröger E. Measuring duration mismatch negativity. Clinical
498	Neurophysiology, 114, 1133-1143, 2003.
499	Kiebel SJ, Daunizeau J, Friston KJ. A hierarchy of time-scales and the brain. PLoS
500	<i>Computational Biology, 4</i> (11), e1000209, 2008.
501	Kujala T, Tervaniemi M, Schröger E. "The mismatch negativity in cognitive and clinical
502	neuroscience: theoretical and methodological considerations." Biological
503	<i>Psychology</i> 74, 1-19, 2007.

504 Lubow RE, Gewirtz, JC. Latent inhibition in humans: data, theory, and implications for 505 schizophrenia. Psychol Bull, 117, 87-103, 1995. Morey RD. Confidence Intervals from Normalized Data: A correction to Cousineau (2005). 506 Tutorials in Quantitative Methods in Psychology, 4, 61-64, 2008. 507 Näätänen R, Tervaniemi M, Sussman E, Paavilainen P, Winkler I. "Primitive 508 intelligence" in the auditory cortex. Trends in Neurosciences, 24, 283-288, 2001. 509 510 Näätänen R, Gaillard AWK, Mäntysalo S. Early selective attention effect on evoked potential reinterpreted. Acta Psychologica, 42, 313-329, 1978. 511 512 Näätänen R, Kujala T, Escera C, Baldeweg T, Kreegipuu K, Carlsen S, Ponton C. The mismatch negativity (MMN)--a unique window to disturbed central auditory 513 processing in ageing and different clinical conditions. *Clinical Neurophysiology* 123, 514 424-458, 2012. 515 Näätänen R, Michie PT. Early selective attention effects on the evoked potential: A 516 critical review and reinterpretation. *Biological Psychology*, 8, 81–136, 1979. 517 Näätänen R, Tervaniemi M, Sussman E, Paavilainen P, Winkler I. "Primitive 518 intelligence" in the auditory cortex. Trends in Neurosciences, 24, 283–288, 2001. 519 520 Näätänen R. The role of attention in auditory information processing as revealed by eventrelated potentials and other brain measures of cognitive function. Behavioral and 521 Brain Sciences, 13, 201–288, 1990. 522 Näätänen R. Attention and brain function. Hillsdale, NJ:Erlbaum, 1992. 523 Paavilainen P, Simola J, Jaramillo M. Näätänen R., Winkler I. Preattentive extraction of 524 525 abstract feature conjunctions from auditory stimulation as reflected by the mismatch negativity (MMN). Psychophysiology, 38, 359-365, 2001. 526 Pulvermuller F, Kujala T, Shtyrov Y, Simola J, Tiitinen H, Alku P, Alho K, 527 Martinkauppi S, Ilmoniemi RJ, Näätänen R. Memory traces for words as 528 revealed by the mismatch negativity. NeuroImage, 14, 607-616, 2001. 529 Schmidt A. Diaconescu AO, Kometer M, Friston KJ, Stephan KE, Vollenweider, FX. 530 Modeling ketamine effects on synaptic plasticity during the mismatch negativity. 531 Cerebral Cortex, doi:10.1093/cercor/bhs238. 532 Semlitsch HV, Anderer P, Schuster P, Presslich O. A solution for reliable and valid 533 reduction of ocular artifacts, applied to the P300 ERP. Psychophysiology, 23, 695-534 703, 1986. 535 Sussman ES. A new view on the MMN and attention debate: The role of context in 536 processing auditory events. Journal of Psychophysiology, 21, 164–175, 2007. 537 Tervaniemi M, Maury S, Näätänen R. Neural representations of abstract stimulus 538

539	features in the human brain as reflected by the mismatch negativity. NeuroReport,
540	5, 844–846, 1994.
541	Todd J, Provost A, Cooper G. Lasting first impressions: A conservative bias in automatic
542	filters of the acoustic environment. Neuropsychologia, 49, 3399-3405, 2011.
543	Ulanovsky N, Las L, Nelken I. Processing of low probability sounds by cortical neurons.
544	<i>Nature Neuroscience</i> , 6, 391–398, 2003.
545	Wacongne C, Changeux JP, Dehaene S. A neural model of predictive coding accounting
546	for the mismatch negativity. Journal of Neuroscience, 32, 3665-78, 2012.
547	Winkler I, Karmos G, Näätänen R. Adaptive modeling of the unattended acoustic
548	environment reflected in the mismatch negativity event-related potential. Brain
549	Research, 742, 239-252, 1996.
550	Winkler I. Interpreting the mismatch negativity. Journal of Psychophysiology, 21, 147–163,
551	2007.
552	Winkler I, Cowan N. From sensory to long-term memory: Evidence from auditory
553	memory reactivation studies. Experimental Psychology, 52, 3–20, 2005.
554	
555	
556	Figure Legends
557	
558	Figure 1. Example structure of tone sequences used by Todd et al. s (2011, first row only)
559	and the present study. Cross-natched rectangles represent blocks with a 30ms standard
560	and soms deviant tone and grey rectangles represent blocks with reversed tone
561	probabilities, in both Pr(standard)=0.875 and Pr(deviant)=0.125. Note that 1000 et al. also
562	different speeds and round no different erders, and so only the slow than fast order was
503	unerent speeds occurred in different orders, and so only the slow their last order was
504	used in the present study.
505	Figure 2. The group average masterid re referenced MMNI waveforms at E4 to 30 ms (grov
500	line) and 60 ms (black line) deviant tones in the fast and slow change sequences for
507	orders 1-3
560	
570	Figure 3. The group averaged mean amplitudes for MMN to 30 ms and 60 ms deviant
571	sounds as a function of change speed and block order. A: Fast and slow speed effects in
572	the MMN to the 30 ms deviant across orders 1-3 B. Fast and slow speed effects in the
573	MMN to the 60 ms deviant across orders 1-3. C: Interactions effects on MMN size
-	

- ⁵⁷⁴ between speed effects and tone type across orders 1-3. Error bars = Morey's (2008)
- 575 corrected normalized within-subject standard errors.

Table 1. Results for repeated measures analysis of variance exploring main effects and interaction on mean mismatch negativity (MMN) amplitude to 30ms and 60 ms tones in the fast and slow change conditions for sequences orders 1, 2 and 3.

EFFECT	3	F-STATISTIC	P-VALUE	Mean Square	Error Mean Square
OVERALL					·
ORDER	0.96	F(2,20) = 0.72	0.50	0.72	1.08
SPEED	1.00	F(1,10) = 8.00	0.02	16.89	2.11
TONE	1.00	F(1,10) = 1.76	0.21	16.39	9.23
ORDER x SPEED	0.86	F(2,20) = 1.71	0.21	1.24	0.73
ORDER X TONE	1.00	F(2,20) = 1.02	0.38	0.60	0.59
SPEED X TONE	1.00	F(1,10) = 0.61	0.45	0.61	0.35
ORDER X SPEED X TONE	0.76	F(2,20) = 8.58	0.01	9.91	1.16
ORDER 1					
SPEED	1.00	F(1,10) = 2.78	0.13	4.73	1.70
TONE	1.00	F(1,10) = 3.70	0.08	10.45	2.82
SPEED X TONE	1.00	F(1,10) = 5.50	0.04	6.80	1.24
ORDER 2					
SPEED	1.00	F(1,10) = 3.19	0.10	2.10	0.66
TONE	1.00	F(1,10) = 1.43	0.26	3.56	2.50
SPEED X TONE	1.00	F(1,10) = 26.31	0.01	7.57	0.29
ORDER 3					
SPEED	1.00	F(1,10) = 12.07	0.01	12.12	1.01
TONE	1.00	F(1,10) = 0.70	0.42	3.59	5.09
SPEED X TONE	1.00	F(1,10) = 1.54	0.24	0.89	0.58
30 ms					
ORDER	0.91	F(1,10) = 1.18	0.32	1.20	1.01
SPEED	1.00	F(1,10) = 8.66	0.01	6.65	0.77
SPEED X ORDER	0.65	F(2,20) = 2.96	0.10	4.21	0.92
60 MS					
ORDER	0.89	F(1,10) = 0.37	0.67	0.29	0.79
TONE	1.00	F(1,10) = 6.18	0.03	10.45	1.69
SPEED X ORDER	0.91	F(2,20) = 10.10	0.01	6.42	0.58



MMN to 30 ms and 60 ms sounds as deviants in fast and slow change sequences.



MMN mean amplitude to 30 ms and 60 ms deviants in fast and slow change sequences.

